

**TRANSMITTAL
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Total Number of Pages in This Submission	12	Application Number	10/820,876
		Filing Date	April 9, 2004
		First Named Inventor	Daniel BREEN et al.
		Art Unit	3692
		Examiner Name	Narayanswamy SUBRAMANIAN
		Attorney Docket Number	SSB0005

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm	Kilpatrick Stockton LLP		
Signature			
Printed Name	George T. Marcou		
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SSB0005



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the U.S. Application of

Daniel BREEN *et al.*

Group Art Unit: **3692**

U.S. Serial No.: **10/820,876**

Examiner: **SUBRAMANIAN, Narayanswamy**

Filed: **April 9, 2004**

For: **SYSTEM AND METHOD FOR INTEGRATING A CONVERTIBLE
SECURITY WITH A CALL SPREAD**

U.S. Patent and Trademark Office
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Randolph Building
Alexandria, VA 22314

RESPONSE TO FINAL OFFICE ACTION

Sir:

In response to the final Office Action mailed February 22, 2007 ("the Office Action"), the undersigned representative respectfully requests reconsideration of the application in light of the following amendments and remarks.

Amendments to the Claims are reflected in the Listing of Claims on page 2 of this paper.

Remarks begin on page 8 of this paper.